

1 **The European Scleroderma Trials and Research group (EUSTAR) Task Force for the**
2 **Development of Revised Activity Criteria For Systemic Sclerosis: derivation and**
3 **validation of a preliminarily revised EUSTAR activity index**

4
5 **Gabriele Valentini¹, Michele Iudici¹, Ulrich A. Walker², Veronika K. Jaeger², Murray**
6 **Baron³, Patricia Carreira⁴, László Czirják⁵, Christopher P. Denton⁶, Oliver Distler⁷,**
7 **Eric Hachulla⁸, Arianne Herrick⁹, Otylia Kowal-Bielecka¹⁰, Janet Pope¹¹, Ulf Müller-**
8 **Ladner¹², Gabriela Riemekasten¹³, Jerome Avouac¹⁴, Marc Frerix¹², Suzana**
9 **Jordan⁷, Tünde Minier⁵, Elise Siegert¹⁴, Voon H. Ong⁶, Serena Vettori¹ and**
10 **Yannick Allanore¹⁵**

11
12 **Affiliations**

13 1 Department of Clinical and Experimental Medicine, Rheumatology Section, Second
14 University of Naples, Naples, Italy

15 2 Department of Rheumatology, Basel University, Basel, Switzerland

16 3 Division of Rheumatology, Jewish General Hospital, McGill University, Montreal, Quebec
17 H3T 1E2, Canada

18 4 Department of Rheumatology, 12 de Octubre University Hospital, Madrid, Spain

19 5 Department of Rheumatology and Immunology, University of Pécs, Medical Centre,
20 Pécs, Hungary

21 6 Centre for Rheumatology and Connective Tissue Disease, Division of Medicine, Royal
22 Free Campus, University College London, London NW3 2QG, UK

23 7 Division of Rheumatology, University Hospital Zurich, Zurich, Switzerland

24 8 Internal Medicine Department, Claude Huriez Hospital, Lille University, Lille, France

25 9 Centre for Musculoskeletal Research and NIHR Manchester Musculoskeletal Biomedical
26 Research Unit, The University of Manchester, Manchester Academic Health Science
27 Centre, Manchester, UK

28 10 Department of Rheumatology and Internal Medicine, Medical University of Bialystok,
29 Bialystok, Poland

30 11 Department of Medicine, St. Joseph's Health Care, University of Western Ontario,
31 London, Ontario N6A 4V2, Canada

32 12 Justus-Liebig University Giessen, Department of Rheumatology and Clinical
33 Immunology, Kerckhoff-Klinik, Bad Neuheim, Germany

34 13 Clinic for Rheumatology, University of Lübeck, Lübeck, Germany

35 14 Department of Rheumatology and Clinical Immunology, University Hospital Charité,
36 Berlin, Germany

37 15 Cochin Institute, Paris Descartes University, INSERM U1016 and CNRS UMR8104,
38 Paris, France

39
40 IRB approval was obtained previously as EULAR/EUSTAR data were used that were
41 collected previously on patients who had signed informed consent.

42 Abstract 250 words, Body 3597 words, 4 Tables, 1 Figure

43
44 Address Correspondence to Prof. Gabriele Valentini, Department of Clinical and
45 Experimental Medicine, Rheumatology Section, Second University of Naples, Naples,
46 Italy. II Policlinico, Edificio 3, Via Pansini 5, 80131 Napoli. Italia.

47 **Tel** +390815464487- **Fax** +390815666747- **E mail** gabriele.valentini@unina2.it

48

49 **Keywords: Systemic Sclerosis, Disease activity, Autoimmune disease**

50

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70 **Abstract**

71 **Background** European Scleroderma Study Group (EScSG) activity index is currently used to
72 assess disease activity in Systemic Sclerosis (SSc). Its validity has been criticized.

73 **Methods** Three investigators assigned an activity score on a 0-10 scale for 97 clinical charts. The
74 median score served as gold standard. Two other investigators labelled the disease as
75 inactive/moderately active or active/very active. Univariate-multivariate linear regression analyses
76 were used to define variables predicting the “gold standard”, their weight and derive an activity
77 index. The cut-off point of the index best separating active-very active from inactive/moderately
78 active disease was identified by a Receiver Operating Curve analysis. The index was validated on
79 a second set of 60 charts assessed by three different investigators on a 0-10 scale and defined as
80 inactive/moderately active or active/very active by other 2 investigators. One hundred and twenty-
81 three were investigated for changes over time in the index and their relationships with those in the
82 summed Medsger severity score.

83 **Results** A weighted 10-point activity index was identified and validated: Δ -skin=1.5 (Δ =patient
84 assessed worsening during the previous month), modified Rodnan skin score $>18=1.5$; digital
85 ulcers=1.5; tendon friction rubs=2.25; C reactive protein $>1\text{mg/dl}=2.25$; diffusing capacity of the
86 lung for CO $<70\%=1.0$. A cut-off ≥ 2.5 was found to identify patients with active disease. Changes
87 of the index paralleled those of Medsger’s summed severity score ($p= 0.0001$).

88 **Conclusions** A preliminarily revised SSc activity index has been developed and validated,
89 providing a valuable tool for clinical practice and observational studies.

90

91

92

93

94

95

96

97

98 **Introduction**

99

100 The assessment of patients with systemic sclerosis (SSc) should address different disease
101 aspects: diagnosis and fulfilment of classification criteria, extent of organ involvement, activity (the
102 reversible part of the disease process), damage (the irreversible part of the disease process),
103 prognosis prediction, outcome, and response to treatment.[1] Defining disease activity in SSc
104 cannot be done using a single variable and it is challenging for a number of reasons: first, patients
105 can present with an indolent course, irrespective of whether or not they belong to either of the two
106 disease subsets, i.e., diffuse cutaneous SSc (dcSSc) and limited cutaneous SSc (lcSSc);[2-6]
107 second, SSc flares can be difficult to separate from quiescent disease;[1]third, the two main
108 morphological manifestations of the disease (interstitial fibrosis and vascular occlusion) may reflect
109 both activity and damage and finally, validated biological markers reflecting disease activity are still
110 lacking.[7]

111 In 2001, the European Scleroderma Study Group (EScSG) in an analysis of the clinical charts
112 of 290 patients from 19 European SSc centres, identified 11 disease activity variables and
113 developed a preliminary activity index.[8,9] The construct validity of the index was first verified by
114 the jackknife technique (i.e., assessing the dispersion of the correlation coefficients calculated by
115 removing out 1 patient at a time), [10] then confirmed by calculating the correlations between the
116 index and the rank of disease activity assigned by 4 experts to 30 charts, selected to represent
117 different degrees of disease activity.[11]

118 This index was subsequently endorsed by EUSTAR and has been used to assess disease
119 activity in 149 studies.[12]Its criterion validity is supported by its correlation with the physician
120 global assessment of activity of the Canadian Scleroderma Research group (CSRG), [13] its
121 association with anti-topoisomerase1 titre,[14] and its role as the main predictor of the
122 scleroderma phenotype (presenting a higher procollagen transcription) of skin fibroblasts from SSc
123 patients.[15]

124 However, it has some limitations due to the procedure underlying its development. In fact, it
125 most patients had a long disease duration and the number of missing values was high. Moreover,

126 the face validity of hypocomplementemia has been questioned since the complement fixation is
127 not thought to be important in SSc. [16,17]. Finally, it was not validated on an independent cohort.
128 Here, we present the results of a EUSTAR study devoted to revise the original activity index in
129 order to improve and validate it.

130

131 **Material and Methods**

132 *Derivation study*

133 The Study Coordinator selected 97 clinical charts from patients included in the EUSTAR
134 database.[5]The selection was carried out in order to identify patients fulfilling the 1980 ACR
135 criteria for the classification of SSc;[18] followed in SSc referral centres in order to reduce the
136 number of missing values; and representing one of the following disease subgroups: early dcSSc,
137 late dcSSc, early lcSSc, and late lcSSc. Early disease was defined a disease duration \leq 3 years
138 from the onset of the first non-Raynaud symptom.[19]

139 Three clinical investigators (YA, CD and OK-B) from centres other than those from which the
140 patients charts were derived, assigned a disease activity score on a 0-10 scale to each chart. The
141 reliability of this scoring system was assessed by the evaluation of the Interclass Correlation
142 Coefficient (ICC). The median disease activity score was used as the “gold standard” to identify
143 items significantly associated to disease activity.

144 To this aim, the study coordinator selected from the items listed in the EUSTAR chart,[5]the
145 following 18 thought to have face validity as activity variables 1) *anamnestic*: Δ -skin, Δ -vascular, Δ -
146 heart/lung worsening (i.e. worsening, as evaluated by the patient during the month before
147 enrolment, of skin induration, Raynaud’s phenomenon and/or digital ischemic ulcers and dyspnea
148 and/or palpitations, respectively); 2) *clinical*: active digital ulcers; modified Rodnan skin
149 score(mRss); tendon friction rubs(TFR); muscle weakness; arthritis; 3) *laboratory*: C-Reactive
150 Protein (CRP) elevation; erythrocyte sedimentation rate (ESR)/h value; hypocomplementemia;
151 creatin-kinase(CK) elevation; proteinuria; 4) *functional and imaging* : systolic Pulmonary Arterial
152 Pressure(sPAP) and pericardial effusion at echocardiography; ground glass and lung fibrosis at

153 lung High Resolution Computed Tomography (HRCT); Forced Vital Capacity(FVC); Diffusing Lung
154 capacity for carbon monoxide-single breath (DLCO).

155 Subsequently, we performed an univariate linear regression analysis to search for significant
156 associations between each of the selected items and the median disease activity score given by
157 the 3 experts. Cut-off values for sPAP, FVC and DLCO were derived from literature.[20-22]

158 The items significantly associated with gold standard in univariate analysis, were all entered in a
159 multivariate linear regression analysis to identify the set of variables independently associated with
160 the “gold standard”. As far as the remaining 2 continuous variables (mRss and ESR) , we made a
161 number of attempts devoted to both identify the cut off point most significantly associated with the
162 gold standard (highest R2; lowest p)to construct a model with the highest sum of sensitivity and
163 specificity .Each variable found to be significantly associated in multivariate analysis was assigned
164 a weight corresponding to beta coefficient adjusted in order to construct a 10-point weighted
165 activity index.

166 Two other investigators (LC and GV), who were unaware of the values assigned on the 0-10 scale,
167 evaluated each of the 97 charts as inactive (corresponding to no need to change treatment and
168 requiring a follow-up after six months- 1 year), or moderately active (corresponding to no need to
169 change treatment and a three-six-monthly follow-up), or active (needing treatment intensification
170 and one-three-monthly follow-up), or very active (requiring hospitalization for active disease).The
171 reliability of this system was assessed by the evaluation of Cohen’s K. The charts that had
172 received a discordant evaluation by the 2 investigators were resent to them for a reassessment
173 devoted to find an agreement.

174 For each patient the overall disease activity was calculated summing the scores of the new index.
175 The cut-off value presenting the highest sum of sensitivity and specificity in separating patients
176 with active-very active disease from those with inactive-moderately active condition was identified
177 by a receiver operating (ROC) curve.

178

179 *Validation study*

180 The new index was validated on 60 patients recruited from the same database and selected in
181 order to satisfy the following aspects: 1) fulfilling 2013 ACR/EULAR criteria for the classification of
182 SSc;^[23] 2) belonging to patients recruited at SSc centres with SSc expertise in whom
183 capillaroscopy had been performed and the pattern defined according to Cutolo et al.;^[24] 3) being
184 representative of one of the following disease subgroups, as described above: early dcSSc, late
185 dcSSc, early lcSSc, and late lcSSc. The 60 charts were assessed for disease activity on a 0-10
186 scale by three different investigators (PC, AH, JP) and defined as inactive, moderately active,
187 active, and very active by other two investigators (MB and EH), all of whom unaware of the derived
188 index. The reliability of the two scoring systems was assessed by ICC and K statistics respectively.

189 *Changes of the index over time and its relationships to summed Medsger severity score*

190 In order to furtherly validate the index, we assessed the changes in the activity index detected in
191 patients from either derivation or validation cohorts in a follow-up visit at least 6 months apart and
192 compared it with the changes in the summed Medsger severity score^[25], that is a validated
193 measure of disease severity in observational studies.^[26] We undertook this approach by
194 considering that severity reflects both activity and damage and its change, being damage
195 irreversible, can only depend on changes in the activity part of the disease process.

196

197 **Results**

198

199 *Derivation study*

200

201 Table 1 lists the main epidemiological, serological and clinical features of the 97 patients
202 considered in the derivation part of this study. All of the patients also satisfied 2013 ACR/ EULAR
203 criteria. The ICC among the activity scores given by the three clinical experts was 0.786 indicating
204 that either the median or the mean value could be considered consistent measures of disease
205 activity and supporting the use of one of them as a gold standard.

206

TABLE 1
Epidemiological, serological, capillaroscopic and clinical features of the 97 SSc patients

Patients	Whole cohort N=97	Early dcSSc N=25	Late dcSSc N=24	Early lcSSc N=23	Late lcSSc N=25
Sex (F/M)	77/20	15/10	21/3	18/5	23/2
Age (years), median; range	55 (21-89)	54 (21-70)	55 (25-75)	52 (23-89)	58 (41-75)
Disease duration (from the first non-Raynaud's manifestation):years (median; range)	3 (0.5-47)	1 (0.5-3)	6 (3.1-18)	2 (0.5-3)	9 (4-47)
Antinuclear antibodies positive ^o	95 (98)	24	24	23	24
Anti-Scl-70 antibody positive ^{oo}	50 (51%)	15	14	11	10
Anti-centromere antibody positive ^o	17 (17%)	0	2	5	10
Anti-RNA polymerase III antibody positive ^{oo}	6 (6%)	2	3	0	1
Anti-U1RNP antibody positive ^{oo}	1 (1%)	0	0	1	0
Raynaud's phenomenon	97 (100%)	25	24	23	25
Active Digital Ulcers*	16 (16%)	4	7	2	3
Arthritis**	15 (15%)	5	4	0	6
Proximal muscle weakness***	29 (30%)	9	6	6	8
Tendon Friction Rubs(TFR)****	14 (14%)	6	3	4	1
Skin sclerosis*****	92 (95%)	25	24	20	23
Esophageal, stomach and/or intestinal involvement^	66 (68%)	16	15	15	20
Interstitial lung disease (CT)^^	53/89 (59%)	13/25	17/24	10/18	13/22
FVC^^^, % of the predicted value (mean±SD)	87.5±18.9	89.4±17.3	78.7±20.0	90.8±12.8	91.0±22.3
DLCO^^^, % of the predicted value(mean±SD)	65.7±21.5	65.9±20.1	56.5±19.9	69.9±16.4	70.6±26.3
Estimated systolic pulmonary artery pressure (sPAP)>30 mmHg^^^^	22 (23%)	4	5	6	7
Heart disease^^^^^	45 (46%)	9	14	7	15
Scleroderma renal crisis (previous) ^o	3 (3%)	2	1	0	0

209
210 ^o By IF on Hep-2 cells; ^{oo} By ELISA on ANA positive sera; * ranging from small infarcts of the
211 digital tips to digital gangrene; ** symmetric swelling and tenderness of the peripheral joints; *** as
212 detected at physical examination; **** perception of leathery crepitus during motion of hands,
213 wrists,elbows,shoulders, knees, ankles (both at anterior and posterior aspects) ***** thickening and
214 induration of the skin as detected by physical examination; ^dysphagia and/or heartburn and/or
215 bloating and/or vomiting and/or diarrhea and/or constipation; ^^either ground glass or interstitial
216 fibrosis as detected at lung high resolution computed tomography;^^^ Forced vital capacity
217 ^^^^^diffusing lung for Carbon monoxide (single breath), ^^^^^as assessed by B-MODE Doppler
218 echocardiography; ^^^^^ diastolic dysfunction and/or cardiac blocks and/or palpitations and/or left
219 ventricular Ejection Fraction< 55% as assessed by EKG and B-MODE Doppler echocardiography,
220 ^orapidly deteriorating kidney failure with or without accelerated/malignant hypertension..
221 -----

222 Table 2 lists the items, out of the 19 selected, that resulted to be associated to the median value of
223 the three 0-10 scores,in univariate linear regression analysis.

224 **TABLE 2**

225
226

**Associations between each potential activity parameter and the gold standard
in Univariate Regression Analysis**

Item	R ^{2*}	P
ESR	0.441	0.0001
Digital ulcers	0.294	0.0001
CRP> 1 mg/dl	0.256	0.0001
mRss	0.238	0.0001
Δ-skin worsened	0.180	0.0001
Δ- vascular worsened	0.164	0.0001
Δ-heart/lung worsened	0.160	0.0001
CK elevation	0.127	0.0003
TFR	0.126	0.0004
FVC<80 % of predicted	0.114	0.0007
Muscle weakness	0.094	0.002
DLCO<70% of predicted	0.085	0.003
Dyspnea and/or palpitations	0.057	0.017
sPAP>30 mmHg	0.052	0.023
Arthritis	0.029	0.094
Lung fibrosis at lung HRCT	0.017	0.223
Hypocomplementemia	0.005	0.478
Ground glass at lung HRCT	0.0005	0.835

227 *R-squared coefficient ;ESR. erythrocyte sedimentation rate;CRP. C-reactive protein; mRss.
228 modified Rodnan skin score; CK. creatin kinase; TFR. tendon friction rubs; FVC. forced vital
229 capacity; DLCO. diffusing lung for Carbon monoxide (single breath), HRCT. high resolution
230 computed tomography;

231

232 It is notable that no finding detected at high resolution computed tomography of the lung was
233 associated to the gold standard. However, the extent of lung involvement is not defined in the
234 EUSTAR chart.

235 With single exceptions (e.g. tendon friction rubs for lcSSc), the same items were also associated
236 with the gold standard in each of the 2 subsets as well as in early and late disease (data not
237 shown).After a number of attempts, we identified a mRss> 18 and a ESR > 50 mm/h as the cut off
238 points most significantly associated with the gold standard (highest R2; lowest p), i.e. the value
239 corresponding to the highest association of the variable in univariate analysis. These values were
240 entered in multivariate analysis along with the other items resulted to be associated with the gold
241 standard.

242 Table 3 lists the items resulted to be associated to the gold standard in multiple regression analysis
 243 and the respective weight that was assigned depending on the β values of the regression model, in
 244 order to construct a 10-point index.

245

Table 3

246 **Items found to be associated with the activity gold standard in multiple linear regression**
 247 **analysis and the resulting 2016 preliminary activity index**

Items	beta regression coefficient (SE)	p	Weight
Δ-skin	1.00 (0.313)	0.001	1.5
Digital ulcers	1.078 (0.373)	0.004	1.5
Modified Rodnan skin score > 18	1.040 (0.319)	0.001	1.5
Tendon friction rubs	1.408 (0.317)	0.0001	2.25
C-reactive protein > 1 mg/dl	1.401 (0.285)	0.0001	2.25
DLCO < 70% of the predicted value	0.527 (0.259)	0.044	1.0

248

249 **SE.** standard error; **DLCO.** Diffusing lung capacity for carbon monoxide

250

251 Since amRss lower than 18 can also reflect active disease, in the final index we considered a
 252 formulain which the highest possible value that was associated with the cut off point found to be
 253 most significantly associated with the gold standard. In detail, each mRss score lower than 18 can
 254 contribute to the overall activity score according to the following formula: mRss score x 0.084.

255 **TABLE 4**

256

REVISED EUSTAR INDEX

ITEM	WEIGHT
Δ-skin	1.5
Digital ulcers	1.5
Modified Rodnan skin score > 18 or for Modified Rodnan skin score up to 18	1.5
Tendon friction rubs	2.25
C-reactive protein > 1 mg/dl	2.25
DLCO < 70% of the predicted value	1.0

257

258 The assessment of disease activity on the Likert scale was the same (i.e. either
 259 inactive/moderately active or active/very active) in 91 patients; differed in 6 (Cohen's K=0.851). In

260 these 6 patients an agreement was reached after a re-evaluation of each chart that had given a
261 discrepant evaluation. Out of the 97 SSc patients, 57 were considered to be inactive to moderately
262 active; 40 active to very active.

263 Figure 1 shows the ROC curve exploring the best cut off value discriminating between
264 inactive-moderately active disease (no treatment change needed) and active-very active disease.
265 A value ≥ 2.5 resulted to have the maximal sum of sensitivity (80.0% ;95%CI 64.4-90.9) and
266 specificity (91.2%;95%CI 80.7-97.1) and was used to validate the index in the validation cohort

267 *Validation*

268 Table 5 shows the epidemiological, serological, capillaroscopic and clinical features of the
269 additional set of 60 patients selected for the validation cohort. Out of the 60 patients,47 also
270 satisfied 1980 ACR criteria for the classification of the disease.

271 The scores given by the three raters were significantly correlated (ICC=0.749). Moreover, their
272 median values were significantly correlated to the respective calculated indices (Rho=0.772,
273 95%CI 0.644-0.857; $p < 0.0001$).The early capillaroscopic pattern was associated with the gold
274 standard ($R^2=0.07$; $p=0.029$). Nevertheless, adding it to the other items (Δ -skin; digital ulcers;
275 modified Rodnan skin score >18 ; tendon friction rubs; C-reactive protein >1 mg/dl; DLCO $< 70\%$ of
276 the predicted value) in multivariate regression analysis, did not improve the performance of the
277 index

278 The evaluations on the Likert scale were consistent (i.e. either inactive/moderately active or
279 active/very active) in 46 patients; differed in 14 (Cohen's K=0.525). In these 14 patients an
280 agreement was reached. Out of the 60 patients, 37 were considered to be inactive to moderately
281 active, 23 active to very active. An index ≥ 2.5 identified active/very active disease as defined by
282 MB and EH with a 73.9% (95%CI 51.6-89.8) sensitivity and 78.3% (95%CI 61.8-90.2)
283 specificity.Performing the validation process in the 47 patients also satisfying 1980 classification
284 criteria, gave very similar results. In this cohort, a EScSG activity index ≥ 3 identified active disease
285 with a 52.2% sensitivity and 89.1% specificity.

286

287
288
289

TABLE 5
Epidemiological, serological, capillaroscopic and clinical features of the 60SSc patients
analyzed in the validation cohort

Patients	Whole series n=60	Early dcSSc n=16	Late dcSSc n=14	Early lcSSc n=16	Late lcSSc n=14
Sex (F/M)	50/10	12/4	13/1	15/1	10/4
Age (years), median; range	56 (24-81)	51.5 (24-75)	59.5 (33-68)	56 (25-80)	58 (44-81)
Disease duration from 1 st non-Raynaud manifestation (years), median; range	2 (0-35)	1.5 (0-3)	13.5 (4-35)	1 (0-2)	8.5 (4-35)
Antinuclear antibodies positive	58 (97%)	15	13	16	14
Anti-Scl-70 antibody positive	24 (40%)	8	8	5	3
Anti-centromere antibody positive	15 (25%)	0	2	6	7
Anti-RNA polymerase I-III antibody positive	9/56 (16%)	3/13	3/13	2	1
Anti-U1RNP antibody positive	1/55 (2%)	0/13	0/12	0/16	1
Scleroderma pattern on nailfold capillaroscopy					
Early	13	1	1	6	5
Active	23	9	3	5	6
Late	19	3	8	5	3
Raynauds' phenomenon	59 (98%)	16	14	15	14
Active Digital Ulcers	14 (23%)	4	5	3	2
Arthritis	13 (21%)	3	2	4	4
Proximal muscle weakness	6 (10%)	1	3	1	1
Tendon Friction Rubs (TFR)	4 (7%)	2	1	1	0
Skin fibrosis	51 (85%)	16	13	11	11
Esophageal, stomach and/or intestinal involvement	40 (67%)	12	11	11	6
Interstitial lung disease (CT)	38/55 (69%)	10/14	9/12	6	6/13
Estimated sPAP>30 mmHg	9/52 (17%)	3/12	1/13	4/13	1
Heart disease	26 (43%)	4	7	8	7
Scleroderma renal crisis (previous)	1 (2%)	0	0	0	1

290

291 *CT. computed tomography. sPAP. systolic pulmonary arterial pressure*

292

293 *Changes of the index over time and its relationships to summed Medsger severity score*

294 A follow-up visit made after 6-38 months (median 13) was available in 123 out of the 157 patients
295 from either derivation and validation cohorts. The calculated index unchanged in 36 patients,
296 decreased in 59, increased in 28. The changes in the activity index resulted to be significantly
297 correlated to those in the Medsger severity score in the 123 patients with a follow-up visit (Rho=
298 0.330; 95%CI 0.162-0.479, p=0.0002), pointing out a significant relationship between the index and

299 the course of disease severity. In particular, at baseline, 43 out of the 123 patients had an activity
300 index ≥ 2.5 . Twenty-two resulted to have an activity index < 2.5 at the end of follow-up; out of
301 them, 18 experienced a decrease (≥ 1 point), 4 a stable severity score. On the other hand, among
302 the remaining 80 patients with a baseline activity index < 2.5 , 8 resulted to develop an activity
303 index ≥ 2.5 at the end of follow-up; out of them, 5 experienced an increase (≥ 1 point), 3 a stable
304 severity score.

305

306 **Discussion**

307 Using the multinational EUSTAR database, we have identified a preliminarily revised set of
308 weighted items correlated to disease activity in patients with SSc. The 2001 EScSG study [8, 9]
309 was based on the analysis of 290 patients, most of whom with longstanding disease and was
310 affected by a high number of missing values ensuing in a low number of patients evaluable for
311 most items. In order to overcome these limitations, we only relied on charts from centres with a
312 large and scientifically supported expertise and included a high proportion of patients with early
313 disease.

314 The 97 patients selected for the derivation cohort present some aspects that deserve to be
315 discussed. First, 21 out the 48 patients with lcSSc were anti-Scl-70 positive. Differences in the
316 prevalence of anti-Scl-70 positivity among patients from different geographical regions have long
317 been known: 29% of French patients with lcSSc vs 15% of American patients. [27]. Since all our
318 patients came from European centres, this is an expected result. Secondly, 2 dcSSc patients were
319 anticentromere antibody positive. However, this figure does not differ from the 5% prevalence of
320 ACA in dcSSc reported by Steen et al. [28]. Thirdly, 5 lcSSc patients presented tendon friction rubs.
321 Again, tendon friction rubs have been detected in 5% of lcSSc patients supporting the absence of
322 any derived generalizability issues. [29]

323 The revised EUSTAR activity index differs from the original EScSG index in several aspects.
324 Hypocomplementemia and arthritis were not associated with disease activity in the present study,
325 even in univariate analysis. The role of hypocomplementemia in assessing SSc activity has been

326 largely debated. [16,17] Hudson et al. [30] investigated 321 patients from the Canadian
327 Scleroderma Research Group Registry, and found that hypocomplementemia was significantly
328 associated with inflammatory myositis and vasculitis, and concluded that it may identify a subgroup
329 of SSc patients who have overlap disease. These data suggest that some patients enrolled in the
330 EScSG study [31] were affected by SSc (all of them satisfied the 1980 ACR criteria)[18] in overlap
331 with other autoimmune systemic rheumatic diseases. This aspect might also justify the exclusion of
332 arthritis.

333 The revised EUSTAR index contains tendon friction rubs and increased serum CRP. Tendon
334 friction rubs were associated with diffuse and reduced survival in 1301 SSc patients.[32] This item
335 was predictive of worsening of skin fibrosis and scleroderma renal crisis in the EUSTAR cohort.[32]
336 CRP levels were increased in early disease and were associated with activity, skin, lung, kidney
337 disease and poor survival in 1043 SSc patients from the CSRG Registry.[33]

338 Similarly to the EScSG activity index, the revised EUSTAR index contains mRSS, digital
339 ulcers, and DLCO. mRSS reflects the degree of skin sclerosis and has long been considered a
340 measure of disease activity in SSc. [34] One could argue that a decreasing mRss (e.g. from 24 to
341 18) might represent a reduced disease activity. Nevertheless, the persistence of defined skin
342 sclerosis is not consistent with inactive disease. Digital ulcers are clearly related to vascular
343 disease activity and have been recently found to predict the occurrence of new digital ulcers during
344 follow-up and to be associated with cardiovascular morbidity and decreased survival.[35] A
345 decreased DLCO can depend on both vascular and interstitial lung disease. In the absence of
346 pulmonary hypertension, however, it has been found to provide the best overall estimate of HRCT-
347 measured lung fibrosis. [36]

348 Similarly to the EScSG activity index, the revised EUSTAR index contains Δ -factors (namely Δ -
349 skin). Δ -items had been criticized because they can fail to capture persistent activity and are
350 influenced by depression.[16] Recently, however, patient assessment has been reported to be
351 significantly correlated to mRSS, the Short Form 36 health survey physical component and skin
352 involvement in the last month. [37] In any case, the present index is less influenced by Δ items,
353 which represented 45% of the 2001 index with respect to the 15% of the present one.

354 In the present study, three patients of the derivation cohort and 1 of the validation cohort had
355 previously presented with scleroderma renal crisis, preventing the use of the revised EUSTAR
356 activity index in that context.

357 Following the publication of the EScSG activity criteria, several attempts have been made to
358 identify a set of criteria with an improved performance. Diaconu et al. [38] asked 6 SSc experts to
359 evaluate 40 charts completed by clinical investigators from Nijmegen; 20 patients had early
360 disease, not yet satisfying 1980 ACR classification criteria [18] and 20 had established disease.
361 They derived an eight-unweighted item index (scleroderma, mRSS, fatigue, exertional dyspnoea,
362 DLCO, musculoskeletal symptoms, ESR and digital ulcers), performing similarly to the EScSG
363 activity index [9] in patients with either early or late disease. Furthermore, Minier et al. [39]
364 identified two activity indexes (a 12-point extended index including Δ variables and a simplified 8.5-
365 point devoid of them) by investigating 131 consecutive patients at enrolment and 1 year later.
366 These patients were assessed using a standardised protocol including high-resolution computed
367 tomography of the lung and echocardiography. The authors confirmed the good construct validity
368 of the original EScSG activity index and found a very good correlation both at baseline and after 1
369 year between both the extended and the simplified score and the original EScSG activity.

370 The SSc activity reported herein represents a step forward with respect to the EScSG activity
371 index.[9]. First of all, unlike the EScSG activity index, it was validated on an independent
372 cohort..Moreover, , the lower number and value of Δ -factors as well as the exclusion of disputable
373 items like hypocomplementemia give it a greater face validity. In addition, , the greater sensitivity
374 detected in the validation cohort make it valuable in better characterising the series investigated
375 in observational studies.Finally, the revised EUSTAR activity index was found to parallel Medsger
376 severity score over time.

377 Our study has some limitations.

378 First, the evaluation of predefined EUSTAR charts did not allow to capture either the extent of
379 lung involvement, which has been found to be related to disease activity [40] or any change in
380 laboratory, physical or physiologic or radiological parameter, preventing any consideration of the
381 changes of parameters like FVC/DLCO, Δ -fibrosis at lung HRCT or acute phase reactants. This

382 aspect can have prevented the inclusion of these items. In that regard, however, one should
383 consider the possible unavailability of some previous values and the need to assess disease
384 activity at the first patient visit. Secondly, no relevant biomarker was investigated. This limitation
385 could be approached in the future by a collaborative multicentre study including the assessment of
386 parameters not included in the EUSTAR chart..Finally, the lower specificity with respect to the
387 EScSG activity index in the validation cohort requires a careful evaluation in the clinical setting e.g.
388 the patient with a respiratory infection presenting high CRP and low DLCO, who would be
389 considered active according to the index, but is suffering from an unrelated condition..

390 In conclusion, the revised EUSTAR activity index is feasible, presents face, construct and
391 content validity and represents a step forward to the so far widely used EScSG activity
392 index.Future collaborative, prospective studies are needed to further improve its performance.

393

394

395

396

397

398

399

400 **Contributors**

401 **Design of the study:** GV, YA; **Acquisition of data:** GV, MI, UW, VKJ, PC, LC, CD, OD, EH, AH,
402 OKB, JP, UML, GR, JA, MF, SJ, TM, ES, VO, SV, YA. **Data interpretation and analysis:** GV, MI,
403 UW, VKJ, MB, PC, LC, CD, OD, EH, AH, OKB, JP, UML, GR, YA. **Drafting and revisiting the**
404 **manuscript:**GV, MI, UW, VKJ, PC, LC, CD, OD, EH, AH, OKB, JP, UML, GR, YA. **Final approval**
405 **of the manuscript:** GV, MI, UW, VKJ, MB, PC, LC, CD, OD, EH, AH, OKB, JP, UML, GR, JA, MF,
406 SJ, TM, ES, VO, SV, YA.

407 **Competing interests**

408 GVhas received research funding in the area of systemic sclerosis from Abbvie, Actelion, Bayer,
409 BMS, Merck SD, Pfizer, Roche. CD has been a consultant to Roche, GSK, Actelion, Inventiva,

410 CSL Behring, Takeda, Merck-Serono, MedImmune and Biogen. He has received research grants
411 from Actelion, GSK, Novartis and CSL Behring. AH has undertaken consultancy work, and
412 received speaker's fees and research funding from Actelion. She has undertaken consultancy work
413 for Apricus. OD has/had a consultancy relationship and/or has received research funding in the
414 area of systemic sclerosis and related conditions from 4 D Science, Actelion, Active Biotech, BMS,
415 Boehringer Ingelheim, EpiPharm, BiogenIdec, Genentech/Roche, GSK, Inventiva, Lilly, medac,
416 Pfizer, Serodapharm, Sinoxa, Ergonex, Pharmacyclics, Sanofi. In addition, OD has a patent mir-29
417 for the treatment of systemic sclerosis licensed. YA has/had consultancy relationship and/or has
418 received research funding in relationship with the treatment of systemic sclerosis from Actelion,
419 Bayer, Biogen Idec, Bristol-Myers Squibb, Genentech/ Roche, Inventiva, Medac, Pfizer,
420 Sanofi/Genzyme, Servier and UCB.

421

422 **Funding**

423 None

424 **References**

- 425 1) Valentini G. The assessment of the patient with Systemic Sclerosis. *Autoimmunity Rev*
426 2003;2:370-6
- 427 2) Ferri C, Valentini G, Cozzi F, *et al.* Systemic sclerosis: demographic, clinical, and serologic
428 features and survival in 1,012 Italian patients. *Medicine (Baltimore)* 2002 ;81:139-53
- 429 3) Hachulla E, Gressin V, Guillemin L, *et al.* Early detection of pulmonary arterial hypertension in
430 systemic sclerosis: a French nationwide prospective multicenter study. *Arthritis*
431 *Rheum.* 2005;52:3792-800
- 432 4) Hunzelmann N, Genth E, Krieg T, *et al.* The registry of the German Network for Systemic
433 Scleroderma: frequency of disease subsets and patterns of organ involvement. *Rheumatology*
434 *(Oxford)* 2008;47:1185-92
- 435 5) Walker UA, Tyndall A, Czirják L, *et al.* Clinical risk assessment of organ manifestations in
436 systemic sclerosis: a report from the EULAR Scleroderma Trials And Research group database.
437 *Ann Rheum Dis.* 2007;66:754-63
- 438 6) Nihtyanova SI, Schreiber BE, Ong VH, *et al.* Prediction of pulmonary complications and long-
439 term survival in systemic sclerosis. *Arthritis Rheumatol* 2014;66:1625-35
- 440 7) Castro SV, Jimenez SA. Biomarkers in systemic sclerosis. *Biomark Med.* 2010;4:133-47
- 441 8) Valentini G, Della Rossa A, Bombardieri S, *et al.* European multicentre study to define disease
442 activity criteria for systemic sclerosis. II. Identification of disease activity variables and development
443 of preliminary activity indexes. *Ann Rheum Dis* 2001;60:592-8
- 444 9) Valentini G, D'Angelo S, Della Rossa A, *et al.* European Scleroderma Study Group to define
445 disease activity criteria for systemic sclerosis. IV. Assessment of skin thickening by modified
446 Rodnan skin score. *Ann Rheum Dis* 2003 ;62:904-5
- 447 10) Shao J, Tu D. *The jackknife and the bootstrap.* Springer. New York. 1995
- 448 11) Valentini G, Bencivelli W, Bombardieri S, *et al.* European Scleroderma Study Group to define
449 disease activity criteria for systemic sclerosis. III. Assessment of the construct validity of the
450 preliminary activity criteria. *Ann Rheum Dis.* 2003 ;62:901-3

451 12) http://scholar.google.it/citationsview_op=view_citation&hl=it&user=3z2zFpUAAAAJ&citation_for
452 [_view=3z2zFpUAAAAJ:9yKSN-GCB0IC](http://scholar.google.it/citationsview_op=view_citation&hl=it&user=3z2zFpUAAAAJ&citation_for). Accessed on September 2015

453 13) Fan X, J Pope, The Canadian Scleroderma Research Group *et al.* What is the relationship
454 between disease activity, severity and damage in a large Canadian systemic sclerosis cohort?
455 Results from the Canadian Scleroderma Research Group (CSRG). *Rheumatol Int* 2010, 30:1205-
456 10

457 14) Hanke K, Dahnrich C, Bruchner CS, *et al.* Diagnostic value of anti-topoisomerase I antibodies in
458 a large monocentric cohort. *Arthritis Res Therapy* 2009; 11: R28

459 15) Qi Q, Guo Q, Tan G, *et al.* Predictors of the scleroderma phenotype in fibroblasts from systemic
460 sclerosis patients. *J Eur Acad Dermatol Venereol* 2009; 23:160-8

461 16) Hudson M, Steele R; Canadian Scleroderma Research Group (CSRG) *et al.* Update on indices
462 of disease activity in systemic sclerosis. *Semin Arthritis Rheum* 2007;37:93-8

463 17) Foocharoen C, Distler O, Becker M, *et al.* Clinical correlations of hypocomplementaemia in
464 systemic sclerosis: an analysis of the EULAR Scleroderma Trial and Research group (EUSTAR)
465 database. *Scand J Rheumatol* 2012;41:243-6

466 18) Masi AT, Rodnan GP, Medsger TA, *et al.* Preliminary criteria for classification of systemic
467 sclerosis (scleroderma). *Arthritis Rheum* 1980;23:581-90

468 19) Furst DE, Clements PJ, Steen VD, *et al.* The modified Rodnan skin score is an accurate
469 reflection of skin biopsy thickness in systemic sclerosis. *J Rheumatol* 1998;25:84-8

470 20) D'Andrea A, Naeije R, Grunig E, Caso P, D'Alto M, Di Palma E, *et al.* Echocardiography of the
471 pulmonary circulation and right ventricular function: exploring the physiologic spectrum in 1480
472 normal subjects. *Chest* 2014;145:1071-78.

473 21) American Thoracic Society. Standardization of Spirometry 1994 update. *Am J Respir Crit Care*
474 *Med* 1995; 152: 1107-36

475 22) American Thoracic Society. Single breath Carbon monoxide diffusing capacity (transfer
476 Factor). Recommendation of a standard technique-1995 update. *Am J Respir Crit Care Med* 1995;
477 152: 2185-98

478

- 479 23) van den Hoogen, Khanna D, Fransen J, et al. Classification Criteria for Systemic Sclerosis: An
480 American College of Rheumatology-European League Against Rheumatism Collaborative
481 Initiative. *Arthritis Rheum* 2013;65:2737-47.
- 482 24) Cutolo M, Sulli A, Pizzorni C, et al. Nailfold videocapillaroscopy assessment of microvascular
483 damage in systemic sclerosis. *J Rheumatol* 2000;27:155-60
- 484 25) Medsger TA, Bombardieri S, Czirjak L, Scorza R, Della Rossa A, Bencivelli W. Assessment of
485 disease severity and prognosis. *Clin Exp Rheumatol* 2003; 21 (Suppl. 29):S42-S4623)
- 486 26) Harel D, Hudson M, Iliescu A, Baron
487 M; Canadian Scleroderma Research Group, Steele R.
488 Summed and Weighted Summary Scores for the Medsger Disease Severity Scale Compared with
489 the Physician's Global Assessment of Disease Severity in Systemic Sclerosis. *J Rheumatol.* 2016
490 Jun 15. pii: jrheum.151440. [Epub ahead of print]
491
- 492 27) Meyer OC, Fertig N, Lucas M, Somogyi N, Medsger TA. Disease subsets, antinuclear antibody
493 profile, and clinical features in 127 French and 247 US adult patients with systemic sclerosis. *J*
494 *Rheumatol.* 2007;34:104-9.
- 495 28) Steen VD. Autoantibodies in systemic sclerosis. *Semin Arthritis Rheum.* 2005;35:35-42.
- 496 29) Steen VD, Medsger TA jr. The palpable tendon friction rub: an Important physical examination
497 finding in patients with Systemic Sclerosis. *Arthritis Rheum* 1997;40:1146-51
- 498 30) Hudson M, Walker JG, Fritzler M, et al. Hypocomplementemia in systemic sclerosis--clinical
499 and serological correlations. *J Rheumatol.*2007;34:2218-23 15.
- 500 31) Della Rossa A, Valentini G, Bombardieri S, et al. European multicentre study to define disease
501 activity criteria for systemic sclerosis. I. Clinical and epidemiological features of 290 patients from
502 19 centres. *Ann Rheum Dis* 2001;60:585-91
- 503 32) Avouac J, Walker UA, Hachulla E, et al. Joint and tendon involvement predict disease
504 progression in systemic sclerosis: a EUSTAR prospective study. *Ann Rheum Dis.* 2014 Aug 27

- 505 33) Muangchan C, Harding S, Khimdas S, *et al.* Association of C-reactive protein with high disease
506 activity in systemic sclerosis: results from the Canadian Scleroderma Research Group. *Arthritis*
507 *Care Res (Hoboken)* 2012 ;64: 1405-14
- 508 34) Walker JG, Steele RJ, Schnitzler *Met al.* The association between disease activity and duration
509 in systemic sclerosis. *J Rheumatol* 2010;37:2299–306
- 510 35) Mihai C, Landewé R, van der Heijde D. Digital ulcers predict a worse disease course in
511 patients with systemic sclerosis. *Ann Rheum Dis.* 2015 Feb 16. doi:10.1136/annrheumdis-2014-
512 205897 [Epub ahead of print]
- 513 36) Tashkin DP, Volkman ER, Tseng CH *et al.* Relationship between quantitative radiographic
514 assessments of interstitial lung disease and physiological and clinical features of systemic
515 sclerosis. *Ann Rheum Dis.* 2014 Dec 1. doi: 10.1136/annrheumdis-2014-206076. [Epub ahead of
516 print]
- 517 37) Wiese AB, Berrocal VJ, Furst DE *et al.* Correlates and responsiveness to change of measures
518 of skin and musculoskeletal disease in early diffuse systemic sclerosis. *Arthritis Care Res*
519 *(Hoboken)* 2014;66:1731-9
- 520 38) Diaconu D, Vonk M, Denton C , *et al.* Disease activity criteria for patients with early systemic
521 sclerosis [abstract]. *Ann Rheum Dis* 2009;68(Suppl 3):P275
- 522 39) Minier T, Nagy Z, Balint Z, *et al.* Construct validity of the European Scleroderma Study Group
523 activity index and investigation of possible new disease activity markers in systemic sclerosis.
524 *Rheumatology* 2010; 49:1133–45.
- 525 40) Goh NS, Desai SR, Veerarghavan S, *et al.* Interstitial lung disease in systemic sclerosis: a
526 simple staging system. *Am J Respir Crit Care Med* 2008;177:1248-54

527
528
529
530
531
532
533

534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551

Figure Legends.

Figure 1. Receiver Operating Curve showing the relation between the value of the calculated 2016 EUSTAR activity index and the presence of active disease in the derivation cohort.

To be deleted

Figure 2. Receiver Operating Curve showing the relation between a EScSG activity index ≥ 3 and the presence of active disease.